

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

HUTCHINS, John E. *et al.*

Serial No.: 09/928,655

Filed: August 14, 2001

For: STEERABLE SPHINCTEROTOME
AND METHODS FOR CANNULATION,
PAPILOTOMY AND SPHINCTEROTOMY

§
§
§
§
§
§
§
§
§
§
§

Group Art Unit: 3739

Examiner:
Not Yet Assigned

POWER OF ATTORNEY BY ASSIGNEE

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

As named Assignee for the above-identified application, it is requested that the following attorneys be appointed to prosecute and transact all business in the Patent and Trademark Office connected therewith.

John E. Lynch, Reg. No. 20,940; John Bauer, 32,554;
and Steven M. War, Reg. No. 48,024

Please send all correspondence to:

Direct telephone calls to:

John E. Lynch
Fulbright & Jaworski L.L.P.
801 Pennsylvania Avenue, N.W.
Washington, D.C. 20004-2615

John E. Lynch
(202) 662-4654

SCIMED LIFE SYSTEMS, INC.

Date: 11/9/01

Robert M. Rauker
Name Robert M. Rauker

Title Senior Patent Counsel



UNITED STATES
PATENT AND
TRADEMARK OFFICE

JANUARY 19, 2002

Chief Financial Officer and Chief Administrative Officer

Washington, DC 20231

www.uspto.gov

PTAS

FULBRIGHT & JAWORSKI, LLP
JOHN E. LYNCH
801 PENNSYLVANIA AVENUE, N.W.
WASHINGTON, DC 20004-2615



101903281A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 11/26/2001

REEL/FRAME: 012318/0963

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HUTCHINS, JOHN E.

DOC DATE: 11/09/2001

ASSIGNOR:

ADAMS, MARK L.

DOC DATE: 11/09/2001

ASSIGNEE:

SCIMED LIFE SYSTEMS, INC.
ONE SCIMED PLACE
MAPLE GROVE, MINNESOTA 55311

SERIAL NUMBER: 09928655

FILING DATE: 08/13/2001

PATENT NUMBER:

ISSUE DATE:

SHAREILL COLES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

11-29-2001

Form PTO-1595
(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

101903281

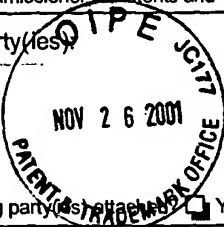
T U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

11-26-01

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies)

JOHN E. HUTCHINS
MARK L. ADAMS

2. Name and address of receiving party(ies)

Name: SCIMED LIFE SYSTEMS INC.

Internal Address:

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Street Address: ONE SCIMED PLACE

City: MAPLE GROVE State: MN Zip: 55311

Execution Date: NOVEMBER 9, 2001

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 09/928,655

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

405

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: JOHN E. LYNCH

Internal Address: FULBRIGHT & JAWORSKI LLP

Street Address: 801 PENNSYLVANIA AVENUE, NW

City: WASHINGTON State: DC Zip: 20004-2615

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 25.00

☒ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

06-2375, ORDER NO. BSX-214.1/10107527

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

STEVEN M. WAR, REG. NO. 48,024

Name of Person Signing

Signature

11/26/01

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

11/28/2001 6TOM11 00000008 062375 09928655

01 FC:581

15.00 CH

25.00 OP

Assignment

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto **SCIMED LIFE SYSTEMS, INC.** (hereinafter ASSIGNEE) all right, title and interest in and to his invention relating to **STEERABLE SPHINCTEROTOME AND METHODS FOR CANNULATION, PAPILOTOMY AND SPHINCTEROTOMY** as set forth in his United States

Patent Application

☐ executed concurrently herewith

☐ executed on _____

☒ Serial No. 09/928,655 filed August 14, 2001

in and to said United States Patent Application including any and all divisions or continuations thereof and in and to any and all Letters Patent of the United States which may issue on any such application or for said invention, including any and all reissues or extensions thereof to be held and enjoyed by said ASSIGNEE, its successors, legal representatives and assigns to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made;

Each of the undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters Patent to said ASSIGNEE, its successors or assigns in accordance herewith;

Each of the undersigned warrants and covenants that he has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in conflict herewith;

Each of the undersigned further covenants and agrees that at any time upon request of said ASSIGNEE, its successors, legal representatives or assigns he will communicate to said ASSIGNEE, its successors, legal representatives or assigns all information known to him relating to said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said ASSIGNEE, its successors, legal representatives or assigns to perfect title to said invention, to said application including divisions and continuations thereof and to any and all Letters Patent which may be granted therefor or thereon, including reissues or extensions, in said ASSIGNEE, its successors, or assigns or to assist said ASSIGNEE, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent of the United States for said invention;

Each of the undersigned hereby grants to John E. Lynch, Registration No. 20,940; John Bauer, Registration No. 32,554; and Steven M. War, Registration No. 48,024 and the law firm of Fulbright & Jaworski L.L.P., the power to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

Names and Signatures of Inventors:

John E. Hutchins date 11-9-01
Name: John E. Hutchins

Name: _____ date _____

Mark L. Adams date 11/9/01
Name: Mark L. Adams

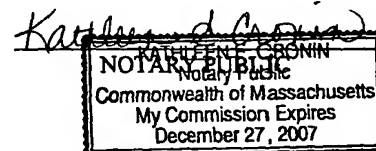
Name: _____ date _____

Name: _____ date _____

Name: _____ date _____

SUBSCRIBED AND SWORN TO before me this 9th day of October, 2001.

My Commission Expires: December 27, 2007



Note: Prima facie evidence of execution may optionally be obtained by execution of this document before a U.S. Consul or before a local officer authorized to administer oaths whose authority is proved by a certificate from a U.S. Consul.

RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

JOHN E. HUTCHINS
MARK L. ADAMS

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: NOVEMBER 9, 2001

2. Name and address of receiving party(ies)

Name: SCIMED LIFE SYSTEMS INC.

Internal Address: _____

Street Address: ONE SCIMED PLACE

City: MAPLE GROVE State: MN Zip: 55311

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 09/928,655

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: JOHN E. LYNCH

Internal Address: FULBRIGHT & JAWORSKI LLP

Street Address: 801 PENNSYLVANIA AVENUE, NW

City: WASHINGTON State: DC Zip: 20004-2615

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 25.00

☒ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

06-2375, ORDER NO. BSX-214.1/10107527

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

STEVEN M. WAR, REG. NO. 48,024

Name of Person Signing

Steven M. War
Signature

11/26/01

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231